



PATENT

Our Docket: P-EA 4672

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Glen A. Evans

Serial No.: 09/922,225

Filed: August 2, 2001

For: NUCLEIC ACIDS AND ENCODED  
POLYPEPTIDES ASSOCIATED  
WITH BIPOLAR DISORDER

) Examiner: Not yet assigned

) Group Art Unit: 1653

) I hereby certify that this correspondence is being deposited with the  
United States Postal Service as first class mail in an envelope  
addressed to: Attention: Box Missing Parts, Commissioner for  
Patents, Washington, D.C. 20231, on October 18, 2001.

By Astrid R. Spain  
Astrid R. Spain, Reg. No. 47,956

COMMISSIONER FOR PATENTS

WASHINGTON, D.C. 20231

Attention: BOX MISSING PARTS

October 18, 2001

Date of Signature

MISSING PARTS TRANSMITTAL

Dear Sir:

In response to the Notice to File Missing Parts mailed  
September 6, 2001, enclosed are:

- X 1. A copy of the Notice to File Missing Parts.
- X 2. An executed Declaration for Patent Application.
- 3. A Recordation Form Cover Sheet and an executed Assignment.
- X 4. An executed Verified Statement (Declaration) Claiming Small Entity Status.
- X 5. An executed Power of Attorney for Patent Application by Assignee.
- X 6. An executed Statement Under 37 CFR 3.73(b) with copy of executed Assignment.
- 7. Preliminary Amendment.
- X 8. Paper copy of sequence listing, pages 1 through 37.
- X 9. Statement Under 37 C.F.R. § 1.821(f) and (g).
- X 10. Sequence listing in computer readable form.
- 11. Petition for Extension of Time.

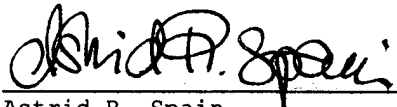
The filing fee has been calculated as shown below:

	NUMBER FILED		NUMBER EXTRA		RATE		FEE	
					SMALL ENTITY	OTHER ENTITY	SMALL ENTIT Y	OTHER ENTITY
TOTAL CLAIMS	35-20	=	15	x	\$9	\$18	=	\$135
INDEPENDENT CLAIMS	9-3	=	6	x	\$42	\$84	=	\$252
MULTIPLE DEPENDENT CLAIMS PRESENTED: ____ YES <u>XX</u> NO					\$140	\$280	=	\$0
					BASIC FEE		\$370	\$740
					TOTAL FEE		\$757	

Inventor: Glen A. Evans  
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- X 11. A check in the amount of \$822.00 to cover the filing fee of \$757.00, and the surcharge fee of \$65.00 for the application is enclosed.
- X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 03-0370. A duplicate copy of this sheet is enclosed.
- X Any additional filing fees required under 37 C.F.R. 1.16.
- X Any patent application processing fees under 37 C.F.R. 1.17.
- X The Commissioner is hereby authorized to charge to Deposit Account No. 03-0370 any fees under 37 CFR 1.17 which may be required under 37 CFR 1.136(a)(3) for an extension of time in any concurrent or future reply requiring a petition for extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted



Astrid R. Spain,  
Reg. No. 47,956  
CAMPBELL & FLORES LLP  
4370 La Jolla Village Drive  
7<sup>th</sup> Floor  
San Diego, California 92122  
Telephone: (858) 535-9001  
**USPTO CUSTOMER NO. 23601**

Inventor(s): Glen A. Evans  
Docket No.: P-EA 4672  
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— This application is based on, and claims the benefit of,  
U.S. Provisional Application No. 60/\_\_\_\_\_ (yet to be  
assigned), filed \_\_\_\_\_, which was converted from U.S.  
Serial No. \_\_\_\_\_, and entitled \_\_\_\_\_,  
and which is incorporated herein by reference.

The filing fee has been calculated as shown below:

	Number Filed		Number Extra		Rate			Fee	
					Small Entity	Other Entity		Small Entity	Other Entity
Total Claims	35-20	=	15	x	\$9	\$18	=	\$	\$
Indepen- dent Claims	9-3	=	6	x	\$40	\$80	=	\$	\$
Multiple Dependent Claims Presented: ___ Yes <u>X</u> No					\$135	\$270		\$	\$
					BASIC FEE			\$355	\$710
					TOTAL FEE			\$	\$

— A check in the amount of \$\_\_\_\_\_ to cover the filing fee  
is enclosed.

X The payment of the filing fee is to be deferred until the  
Declaration is filed. Do not charge our deposit account.

— The Commissioner is hereby authorized to charge fees under  
37 CFR 1.16 and 1.17 which may be required or credit any  
overpayment to Deposit Account No. \_\_\_\_\_. A duplicate  
copy of this sheet is enclosed.

Address all future communications to:

Cathryn Campbell  
CAMPBELL & FLORES LLP  
4370 La Jolla Village Drive, 7<sup>th</sup> Floor  
San Diego, California 92122  
telephone: (858) 535-9001  
facsimile: (858) 535-8949  
**USPTO CUSTOMER NO. 23601**

Respectfully submitted,



Date: August 2, 2001

Astrid R. Spain  
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San Diego, California 92122



## UNITED STATES PATENT AND TRADEMARK OFFICE

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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/922,225	08/02/2001	Glen A. Evans	P-EA 4672

23601  
CAMPBELL & FLORES LLP  
4370 LA JOLLA VILLAGE DRIVE  
7TH FLOOR  
SAN DIEGO, CA 92122

CONFIRMATION NO. 8914

## FORMALITIES LETTER



\*OC00000006520245\*

Date Mailed: 09/06/2001

01/10/2002 BABRAHA1 00000064 09922225  
**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

<del>01 FC:201</del>	<del>370.00 OP</del>
<del>02 FC:205</del>	<del>65.00 OP</del>
<del>03 FC:203</del>	<del>135.00 OP</del>
<del>04 FC:202</del>	<del>252.00 OP</del>

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*

- Total additional claim fee(s) for this application is \$750.

- \$270 for 15 total claims over 20.
- \$480 for 6 independent claims over 3.

01/10/2002 BABRAHA1 00000064 09922225

01 FC:201	370.00 OP
02 FC:205	65.00 OP
03 FC:203	135.00 OP
04 FC:202	252.00 OP

- The oath or declaration is missing.

*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*

- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1590.

- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be

submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice MUST be returned with the reply.*



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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE